



Requests made online

A guide to requests made through fyi.org.nz and social media

This guide deals with Official Information Act 1982 (OIA) and Local Government Official Information and Meetings Act 1987 (LGOIMA) requests made through www.fyi.org.nz (FYI) and the social media websites of Twitter and Facebook.

Contents

What are FYI, Twitter and Facebook?	3
Can requests be made this way?	_ 3
Who is the requester and why do they want the information?	_ 4
Establishing eligibility under the OIA	4
When are online requests 'received' for the purpose of calculating the maximum	
response date?	5
Social media requests to Ministers	7
Advice for agencies	8
Release in electronic form	
Online publication	9
Copyright	9
Managing your online communication tools	
Advice for requesters	_ 11
Requests not received or overlooked	_ 11
Eligibility under the OIA	
Online publication	
Further guidance	12

What are FYI, Twitter and Facebook?

FYI is a website that allows people to publicly lodge official information requests online. Requests, responses and associated correspondence are published online. Requesters choose from a range of 'public authorities' to make their request to and FYI sends that request by email to the relevant agency. The website is run by Open New Zealand and hosted and supported by the New Zealand Herald.

Twitter is an information network which uses messages with a limit of 140 characters. Twitter users can *'follow'* one another to see the messages they post to the network. Agencies can communicate with their followers by posting *'tweets'*, including photos, videos or links to websites. People can communicate with agencies by replying to their tweets or by direct message (but only if the agency is following them).

Facebook is a social networking site that connects people with friends and enables them to share information. Agencies can create and post information to a Facebook page. As well as appearing on the agency's Facebook page, that information appears in the personal newsfeed of people who've liked the page. People can communicate with agencies by posting to a message to the agency's Facebook page, or by personal message.

Can requests be made this way?

Yes—official information requests can be **made in any form and communicated by any means**. Section 12(1AA) OIA² clarified the existing law in response to a Law Commission report, which noted that the practice of declining requests due to the form in which they were submitted 'probably cannot be justified under the Act as it stands' and recommended 'that the Act provide expressly that requests may be made in any form: in hard copy, electronically, or orally'. 3

Any agency that has an email address can receive a request via FYI, and agencies that have a Twitter account or Facebook page can receive requests in that way⁴.

Case study 331942 (2012)—Request made via Twitter

A requester tweeted to their local council: 'hi I'd like to do a LGOIMA request via Twitter. How much is being spent to advertise the council page on facebook?'. The council replied 'we cannot take LGOIMA requests over twitter. Please call or email us'. The requester complained to the Ombudsman about this response. The complaint was resolved

See s 12(1AA) OIA and s 10(1AA) LGOIMA.

² S 12(1AA) was inserted on 26 March 2015 by section 4(1) of the Official Information Amendment Act 2005.

³ See <u>The Public's Right to Know: Review of the Official Information Legislation</u>, NZLC 125 at [9.86].

⁴ See When are online requests 'received' for the purpose of calculating the maximum response date?

informally by explaining to the council that there was no basis under the LGOIMA to decline to answer the request simply because it was made via Twitter. The council agreed that the advice provided to the requester was incorrect, and agreed to respond.

Who is the requester and why do they want the information?

Many agencies consider this to be important information, but under the OIA the identity of the requester is only strictly required for the purpose of <u>establishing eligibility</u>. (There are **no eligibility restrictions under the LGOIMA**.⁵)

There is also no requirement for a person to give reasons for their request. Reasons can be helpful in identifying the specific information sought, and in weighing competing public interests in favour of withholding and disclosure. Agencies **can ask** a requester for their reasons. However, requesters **cannot be compelled** to supply them, and should not be pressured to do so.

It can be difficult with online requests to know who the requester is, or whether they are really who they say they are, but in this respect they're not that different to ordinary requests. There is nothing to prevent someone in the 'real world' (as opposed to the 'online world') from submitting a request by proxy (ie, getting someone else to make it for them). Nor is there anything to prevent them from publishing online any hardcopy information they receive in response to a request.

Establishing eligibility under the OIA

To be eligible to request information under the OIA, a requester must be:6

- a New Zealand citizen or permanent resident;
- a person in New Zealand; or
- a corporate entity (that is, a company or an incorporated society) which is either incorporated in New Zealand or has a place of business here.

Agencies that receive online requests may have limited information with which to establish a requester's eligibility.

An agency that gets a request via **FYI** will receive the requester's name and a computer-generated '@requests.fyi.org.nz' email address. FYI recommends that requesters use their real name. An agency that gets a request via **Twitter or Facebook** can check the user's online

⁵ A LGOIMA request may be made by 'any person'—see s 10(1) LGOIMA.

⁶ See s 12(1) OIA.

profile. This may have information about their location, work and education that is sufficient to satisfy an agency as to a requester's eligibility. However, the extent of information displayed is up to the user.

Agencies are entitled to make reasonable enquiries to satisfy themselves that a requester is eligible to make a request under the OIA. However, the eligibility requirement is not about imposing unnecessary barriers to legitimate requests. Agencies should only query eligibility if there is a genuine need to do so, and they should be mindful of their obligation to provide reasonable assistance to requesters. Time taken to confirm eligibility may mean less time is available for processing a request that is subsequently confirmed to be valid. With that in mind, agencies should endeavour to resolve any doubts about a requester's eligibility as soon as possible.

Querying eligibility can be tricky if an agency's exchanges with the requester are taking place in the public domain (as they are in FYI requests, tweets and Facebook posts, but not direct or personal messages). The best way to do this may be to reply to the requester (preferably at the same time the request is acknowledged), explaining the eligibility requirements, and providing the name and contact details of a staff member the requester can contact privately in order to provide the assurance required regarding eligibility.

Even if the requester turns out to be ineligible to make a request under the OIA, agencies should still provide a reasonable response, as their response could be investigated under the Ombudsmen Act 1975 (if the agency is subject to that Act). Agencies may also decide it doesn't really matter whether the requester is eligible or not, because they'd be perfectly happy to supply the information to any person regardless of eligibility.

When are online requests 'received' for the purpose of calculating the maximum response date?

Under the OIA and LGOIMA, agencies must make a decision and communicate it to the requester 'as soon as reasonably practicable' and no later than 20 working days after the day the request is **received**. When a request is **received**, and when it is **seen** by someone in the agency, are not the same thing.

The date of receipt of electronic communications is governed by the Contract and Commercial Law Act 2017 (CCLA). Section 214 of the CCLA, states:

An electronic communication is taken to be received,—

(a) in the case of an addressee who has designated an information system for the purpose of receiving electronic communications, at the time the electronic communication enters that information system; or

⁷ See s 13 OIA and s 11 LGOIMA.

⁸ See s 15(1) OIA and s 13(1) LGOIMA.

(b) in any other case, at the time the electronic communication comes to the attention of the addressee.

Where an agency has designated a particular information system (like an email address, Twitter account, or Facebook page) for the purpose of receiving electronic communications, those communications will be taken to be received at the time they **enter** the information system, not when they come to the agency's attention. However, if the requester does not use established social media channels for the purpose of receiving communications, the date of receipt is when the request comes to the attention of the addressee. The question of whether an information system is used for the purpose of receiving communications rather than solely for disseminating information is a question of fact that generally will need to be established on a case-by-case basis, as is the question of when the request comes to the attention of the agency.

So whenever the email, tweet or post enters the system, that's when it's received (unless the information channel is only used for the dissemination of information). Use that date as the day of receipt for the purpose of calculating the maximum 20 working days for responding to an official information request. This is so regardless of whether the email, tweet or post was received **outside business hours**. The definition of 'working day' in the OIA and LGOIMA makes no reference to business hours.¹⁰

If the social media channel is only used for the dissemination of information, an information request will not be 'received' unless or until the request comes to the agency's attention. It may therefore be worth requesters considering whether to copy a request made via social media to an established intake channel of the agency at the same time (such as an agency email address) to ensure it is received.

For the avoidance of any doubt, ¹¹ there is no requirement for the date of receipt to be a 'working day'. ¹² If the request is received on Saturday, Sunday, or on any public holiday, the next working day is the first day for considering a request, in terms of the 20 working day maximum timeframe. Use the online calculator on our homepage to help calculate maximum response times. ¹³

See s 214 of the Contract and Commercial Law Act 2017.

¹⁰ See s 2 OIA and LGOIMA.

¹¹ See s 15(1) and s 13(1) LGOIMA.

¹² For example, if a request enters into an agency's official information email inbox on a Sunday, the first day of the 20 working days starts on the Monday after. Accordingly, if an agency has an information system for official information, the date of receipt date is not 'mondayized'.

Taking steps to confirm a requester's <u>eligibility</u> will **not affect** the date that a request was received. The working day count still starts from the day after the request was received, not the day after eligibility was confirmed.

Social media requests to Ministers

The position may be more complicated with Twitter and Facebook requests to Ministers. While Ministers may have various Twitter accounts or Facebook pages, it may not be clear whether those accounts (information systems) are established and monitored in the Minister's **official capacity** as a Minister of the Crown, and therefore subject to the OIA, or if the accounts were established in their capacity as a MP or member of a particular political party, and consequently not subject to the OIA. Alternatively, the social media account may relate to the Minister's official capacity but only used to disseminate information, and therefore is not monitored.

However, if a Minister engages on that social media account then the purpose of the account may change, and they should also ensure that the account is monitored for OIA requests. The question of whether the account is used for the purpose of engagement will depend on a variety of factors in the particular case.

This does not mean that official information requests cannot be made to Ministers via a range of social media. Section 12(1AA) OIA confirms that requests made via social media, including requests made through the Twitter platform, are legitimate OIA requests. However, the specific social media channel used by the requestor may affect the date on which a request is taken to be received for the purposes of the OIA (and therefore the working day count for responding to a request).

There is also an implicit expectation that requesters will make some effort to deliver their request in a manner in which it is likely to be received. Simply tagging an undesignated social media account which receives copious communications per day across multiple platforms may not always result in the request coming to the attention of the office of the Minister, and therefore being received. There is a risk when making a request through non-designated channels that it will not be received at the time it enters the social media account. As there may be no guarantee when communications are received, requesters may prefer to use officially designated channels for ministerial communication (see www.beehive.govt.nz).

For example, it may be arguable that a Minister has not designated a particular social media account for their Ministerial position, and therefore, any electronic communications will not be taken to be received (and therefore starting the statutory clock for response) until the request has come to the Minister's attention.

Advice for agencies

Release in electronic form

There are a range of ways in which agencies may make official information available in response to a request. ¹⁴ However, agencies **must** make information available in the way preferred by the requester unless it would: ¹⁵

- impair efficient administration;
- be contrary to any legal duty the agency has in respect of the information; or
- prejudice the interests protected by section 6, 7 or 9 of the OIA, or section 6 or 7 of the LGOIMA. 16

With FYI requests, it's a given that the preferred form of release is **electronic**. The purpose of the site is to publish responses to official information requests online. It's possible that Twitter and Facebook requesters may also want an electronic response—if in doubt, agencies should ask the requester how they want to receive the response.

Nowadays, there's often nothing to prevent an agency providing an electronic response. Either the information is already in electronic form and is able to be processed, if necessary, using redaction software; or it is able to be converted to electronic form relatively easily by scanning the relevant documents.

Agencies can respond to **FYI requests** by email with the relevant information attached, or directly from the FYI website by clicking *'respond to request'*. ¹⁷ The latter option enables agencies to upload files. If agencies are having difficulty uploading large files (perhaps because of a limit imposed by their own email server on the file size of any attachment), they can contact FYI for assistance; FYI will provide a URL where files of up to 100Mb each can be uploaded.

Tweeting a response to a request made by **Twitter** may be possible if the response can be made in fewer than 140 characters. If it can't, agencies could consider:

- uploading the response to their website or to a 'cloud' service such as Dropbox, and posting a link to it; or
- asking the requester how they would prefer the response to be conveyed, given it is not possible to respond in the same way the request was made.

¹⁴ See s 16(1) and (1A) OIA, and s 15(1) and (1A) LGOIMA.

¹⁵ See s 16(2) OIA and s 15(2) LGOIMA.

And in the case of the interests protected by s 9 of the OIA or s 7 of the LGOIMA, there is no countervailing public interest.

¹⁷ Clicking on this link will prompt the agency to create a password-protected user account with the FYI site, so that only it can upload documents to the site using this link.

If information is not provided in the way preferred by the requester, the agency must explain the reason for not providing the information in that way, and if asked, the grounds supporting that reason.¹⁸

Online publication

Information made available in response to **FYI** requests will be published online automatically if the response is made by the FYI website or by replying to the email address from which the request was sent. It is FYI's policy not to delete requests on the basis that it is a permanent public archive of such requests (although in rare cases FYI will hide responses or requests, for example, when personal information has been inadvertently published).

Tweets and posts in response to **Twitter and Facebook** requests will also be publicly available. Many agencies wonder how this factor is relevant to their decision making process under the OIA or LGOIMA.

The fact that information will be published online is not a reason in itself for refusing a request for official information. Publication of information released in response to official information requests has always been a possibility. Agencies have never been able to control what a requester does with the information they receive (unless there is a valid basis for imposing conditions¹⁹). However, it may be one factor to take into account in considering whether or not there is good reason to withhold the information.

On the one hand, it is possible that online publication of the information may be more likely to cause prejudice to the interests protected by the withholding grounds. For instance, online publication may be more likely to impinge on the privacy of natural persons, or to unreasonably prejudice the commercial position of a third party, than release to a particular requester with conditions where necessary.

On the other hand, online publication may lend weight to the public interest in disclosure, because the information will become available to the general public and not just the requester.

FYI is not intended to be a tool for making requests for personal information about the requester or others. However, there may be information the requester is not aware of that raises privacy implications. Agencies will need to consider the privacy interests of the requester (if applicable) and others in responding to requests via FYI.

Copyright

Agencies often query whether it will breach a third party's copyright to make information available in response to an official information request. This is a particular issue when the information will be published online.

¹⁸ See s 16(3) OIA and s 15(3) LGOIMA.

For more information about imposing conditions on the use, communication or publication of information see 'Conditional release' in The LGOIMA for local government agencies.

The fact that information is subject to copyright is not a reason in itself for refusing a request for official information. However, agencies dealing with requests for copyrighted material should consider whether any of the withholding grounds are engaged. There may be good reason to withhold the information²⁰ or to refuse to make it available in the way preferred by the requester (ie, online).²¹ It may also be appropriate to consult the copyright holder before making a decision on the request for information.²²

Agencies are protected from any civil proceedings (including for breach of copyright) for release of information in good faith in response to a request made under the OIA or the LGOIMA.²³ The general law will apply to proactive disclosure of information by an agency, and requesters are similarly subject to the general law in respect of what they may do with any information disclosed to them.

Managing your online communication tools

Section 13 of the OIA imposes a duty on agencies and Ministers to provide reasonable assistance to a requester.

Agencies should ensure that the information systems they have designated for the purpose of receiving electronic communications are monitored, so that any requests for official information can be identified and actioned as soon as possible after they are received.

Where a social media account is not designated for the purpose of receiving electronic communications, agencies and Ministers should warn requesters that their queries may not be received or responded to in a timely fashion. This can be achieved by providing a warning on the account, automatic replies to direct messages, or redirecting requesters to another medium.

Agencies and Ministers should also consider adopting and publishing a social media policy (if they haven't already), so people know how they will manage their Twitter and/or Facebook accounts. It would be helpful to include specific mention of the OIA or LGOIMA in the agency's social media policy. Agencies should also check the address that FYI has for them to ensure it is up-to-date and appropriate (select the relevant 'public authority' on the FYI website and click on 'view OIA email address'). If it's not up-to-date they should contact FYI and let them know.

Guide: Requests made online August 2019 | Page 10

²⁰ See ss 6 and 9 OIA and ss 6 and 7 LGOIMA.

See s 16(2)(c) OIA and s 15(2)(c) LGOIMA. For more information about the form of release see 'Deciding how to release information' in The OIA for Ministers and agencies or The LGOIMA for local government agencies.

For more information about consulting third parties see 'Consultation' in The OIA for Ministers and agencies or The LGOIMA for local government agencies.

²³ See s 48(1)(a) OIA and s 41(1)(a) LGOIMA.

Advice for requesters

Requests not received or overlooked

Requesters should be aware that although requests may be made online there is a risk that they may not be received or they may be overlooked.

Sometimes **FYI** may not have the correct email address for the agency. This is particularly so with requests to Ministers when there has been a change in government or a Cabinet reshuffle, or requests to agencies when there has been a merger or rebranding. Sometimes the operation of spam filters means that although the request is sent by FYI it is not received by the agency.

Agencies that have set up **Twitter** accounts and **Facebook** pages may not have anticipated that they would be used to make official information requests. Their systems for tracking and monitoring Twitter and Facebook communications may not be as developed or sophisticated as the ones which exist in relation to more traditional forms of communication.

In addition, with <u>social media requests to Ministers</u>, there may be some doubt as to when the request was received (when it entered the system or when it came to the Minister's attention?). This could affect when the working day count for responding to a request will start.

With that in mind, requesters should consider:

- invoking the OIA or LGOIMA explicitly, to minimise the risk that their request will be overlooked; and
- requesting an acknowledgement, and following-up (perhaps with an alternative method of communication) if one is not received.

Eligibility under the OIA

If a requester is seeking information under the OIA (as opposed to the LGOIMA) they need to be prepared for the fact that an agency may query their eligibility to make a request. This may affect the time taken to respond to a request. Requesters should consider offering details of the basis for their eligibility (for example, 'I am eligible to make this request because I am a New Zealand citizen'), and asking the agency to contact them as soon as possible if proof of eligibility is required.

Online publication

Requesters should bear in mind that they are seeking and may receive information in a public forum. With FYI in particular they should be aware that all responses are automatically published on the website for anyone to read.

Requesters should think carefully about what they're requesting and whether it could raise any privacy implications for themselves or for others. FYI is not intended to be a tool for seeking

personal information about requesters or others. Requesters who want to make their request in private should contact the relevant agency direct.

Requesters should also be aware that agencies may be hesitant about publishing online information that relates directly or indirectly to their staff (for instance, names, phone numbers, email addresses etc). If requesters are not seeking information of this nature, they could consider saying so up-front. This may help ensure a more timely response.

Further guidance

- <u>The OIA for Ministers and agencies</u> guidance for Ministers and central government agencies on processing OIA requests.
- <u>The LGOIMA for local government agencies</u> guidance for local government agencies on processing LGOIMA requests.
- <u>Making official information requests</u> guidance for requesters on making official information requests.

Our staff are also able to provide advice and guidance in relation to online requests. You can contact our staff at info@ombudsman.parliament.nz or freephone 0800 802 602. Do so as early as possible to ensure we can answer your queries without delaying the response to a request for official information.